INVESTORS COMPLAINTS DATA

Name of Merchant Banker : Diggi Corporate Advisors Private Limited

SEBI Registration Number : INM000013332

Date of Licence : September 29, 2025

CONSOLIDATED REPORT							
Data for every month ending – 31st October 2026							
Sr No	**Received from**	**Pending as on the last month ending 30th September 2026**	**Received during the month ending 31st October 2026**	**Resolved during the month ending 31st October 2026**	**Total Pending during the month ending 31st October 2026 2026**	**Pending complaints For more than One month**	**Average Resolution time (in days)^**
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	**Grand Total**	**0**	**0**	**0**	**0**	**0**	**0**

Trend of monthly disposal of complaints (For 5 months on a rolling basis)					
Sr No	**Month**	**Carried forward from previous month**	**Received during the particular month**	**Resolved during the particular month***	**Pending at the end of the particular month#**
1	June 2026	0	0	0	0
2	July 2026	0	0	0	0
3	August 2026	0	0	0	0
4	September 2026	0	0	0	0
5	October 2026	0	0	0	0
	Grand Total	**0**	**0**	**0**	**0**

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) (From 29th September 2025)–					
Sr. No	**Year**	**Carried forward from previous year**	**Received during the particular year**	**Resolved during the particular year**	**Pending at the end of the particular year**
1	2025	0	0	0	0
2	2026@	0	0	0	0
3	2027@	0	0	0	0
4	2028@	0	0	0	0
5	2029@	0	0	0	0
	Grand Total*	**0**	**0**	**0**	**0**

Notes:

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

** Inclusive of complaints of previous months resolved in the current month.*

#Inclusive of complaints pending as on the last day of the month

@ Relevant month/ year has not yet completed.

1. CATEGORY: SMALL AND MID-SIZE ENTERPRISES INITIAL PUBLIC OFFER (IPO) INCLUDING OFFER FOR SALE (OFS)							
Data for every month ending – 31st October 2026							
Sr No	**Received from**	**Pending as on the last month ending 30th September 2026**	**Received during the month ending 31st October 2026**	**Resolved during the month ending 31st October 2026**	**Total Pending during the month ending 31st October 2026 2026**	**Pending complaints For more than One month**	**Average Resolution time (in days)^**
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	**Grand Total**	**0**	**0**	**0**	**0**	**0**	**0**

Trend of monthly disposal of complaints (For 5 months on a rolling basis)					
Sr No	**Month**	**Carried forward from previous month**	**Received during the particular month**	**Resolved during the particular month***	**Pending at the end of the particular month#**
1	June 2026	0	0	0	0
2	July 2026	0	0	0	0
3	August 2026	0	0	0	0
4	September 2026	0	0	0	0
5	October 2026	0	0	0	0
	Grand Total	**0**	**0**	**0**	**0**

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) (From 29th September 2025)–					
Sr. No	**Year**	**Carried forward from previous year**	**Received during the particular year**	**Resolved during the particular year**	**Pending at the end of the particular year**
1	2025	0	0	0	0
2	2026@	0	0	0	0
3	2027@	0	0	0	0
4	2028@	0	0	0	0
5	2029@	0	0	0	0
	Grand Total*	**0**	**0**	**0**	**0**

Notes:

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

** Inclusive of complaints of previous months resolved in the current month.*

#Inclusive of complaints pending as on the last day of the month

@ Relevant month/ year has not yet completed.

2. CATEGORY: SUBSTANTIAL ACQUISITIONS OF SHARES AND TAKEOVERS							
Data for every month ending –31st October 2026							
Sr No	**Received from**	**Pending as on the last month ending 30th September 2026**	**Received during the month ending 31st October 2026**	**Resolved during the month ending 31st October 2026**	**Total Pending during the month ending 31st October 2026 2026**	**Pending complaints For more than One month**	**Average Resolution time (in days)^**
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	**Grand Total**	**0**	**0**	**0**	**0**	**0**	**0**

Trend of monthly disposal of complaints (For 5 months on a rolling basis)					
Sr No	**Month**	**Carried forward from previous month**	**Received during the particular month**	**Resolved during the particular month***	**Pending at the end of the particular month#**
1	June 2026	0	0	0	0
2	July 2026	0	0	0	0
3	August 2026	0	0	0	0
4	September 2026	0	0	0	0
5	October 2026	0	0	0	0
	Grand Total	**0**	**0**	**0**	**0**

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) (From 29th September 2025)					
Sr. No	**Year**	**Carried forward from previous year**	**Received during the particular year**	**Resolved during the particular year**	**Pending at the end of the particular year**
1	2025	0	0	0	0
2	2026@	0	0	0	0
3	2027@	0	0	0	0
4	2028@	0	0	0	0
5	2029@	0	0	0	0
	Grand Total*	**0**	**0**	**0**	**0**

Notes:

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

** Inclusive of complaints of previous months resolved in the current month.*

#Inclusive of complaints pending as on the last day of the month

@ Relevant month/ year has not yet completed.

3. CATEGORY: DELISTING OF EQUITY SHARES							
Data for every month ending – 31st October 2026							
Sr No	**Received from**	**Pending as on the last month ending 30th September 2026**	**Received during the month ending 31st October 2026**	**Resolved during the month ending 31st October 2026**	**Total Pending during the month ending 31st October 2026 2026**	**Pending complaints For more than One month**	**Average Resolution time (in days)^**
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	**Grand Total**	**0**	**0**	**0**	**0**	**0**	**0**

Trend of monthly disposal of complaints (For 5 months on a rolling basis)					
Sr No	**Month**	**Carried forward from previous month**	**Received during the particular month**	**Resolved during the particular month***	**Pending at the end of the particular month#**
1	June 2026	0	0	0	0
2	July 2026	0	0	0	0
3	August 2026	0	0	0	0
4	September 2026	0	0	0	0
5	October 2026	0	0	0	0
	Grand Total	**0**	**0**	**0**	**0**

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) (From 29th September 2025)					
Sr. No	**Year**	**Carried forward from previous year**	**Received during the particular year**	**Resolved during the particular year**	**Pending at the end of the particular year**
1	2025	0	0	0	0
2	2026@	0	0	0	0
3	2027@	0	0	0	0
4	2028@	0	0	0	0
5	2029@	0	0	0	0
	Grand Total*	**0**	**0**	**0**	**0**

Notes:

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

** Inclusive of complaints of previous months resolved in the current month.*

#Inclusive of complaints pending as on the last day of the month

@ Relevant month/ year has not yet completed.

4. CATEGORY: BUYBACK OF SECURITIES							
Data for every month ending – 31st October 2026							
Sr No	**Received from**	**Pending as on the last month ending 30th September 2026**	**Received during the month ending 31st October 2026**	**Resolved during the month ending 31st October 2026**	**Total Pending during the month ending 31st October 2026 2026**	**Pending complaints For more than One month**	**Average Resolution time (in days)^**
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	**Grand Total**	**0**	**0**	**0**	**0**	**0**	**0**

Trend of monthly disposal of complaints (For 5 months on a rolling basis)					
Sr No	**Month**	**Carried forward from previous month**	**Received during the particular month**	**Resolved during the particular month***	**Pending at the end of the particular month#**
1	June 2026	0	0	0	0
2	July 2026	0	0	0	0
3	August 2026	0	0	0	0
4	September 2026	0	0	0	0
5	October 2026	0	0	0	0
	Grand Total	**0**	**0**	**0**	**0**

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) (From 29th September 2025)					
Sr. No	**Year**	**Carried forward from previous year**	**Received during the particular year**	**Resolved during the particular year**	**Pending at the end of the particular year**
1	2025	0	0	0	0
2	2026@	0	0	0	0
3	2027@	0	0	0	0
4	2028@	0	0	0	0
5	2029@	0	0	0	0
	Grand Total*	**0**	**0**	**0**	**0**

Notes:

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

** Inclusive of complaints of previous months resolved in the current month.*

#Inclusive of complaints pending as on the last day of the month

@ Relevant month/ year has not yet completed.

5. CATEGORY: PUBLIC ISSUE OF DEBT SECURITIES							
Data for every month ending – 31st October 2026							
Sr No	**Received from**	**Pending as on the last month ending 30th September 2026**	**Received during the month ending 31st October 2026**	**Resolved during the month ending 31st October 2026**	**Total Pending during the month ending 31st October 2026 2026**	**Pending complaints For more than One month**	**Average Resolution time (in days)^**
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	**Grand Total**	**0**	**0**	**0**	**0**	**0**	**0**

Trend of monthly disposal of complaints (For 5 months on a rolling basis)					
Sr No	**Month**	**Carried forward from previous month**	**Received during the particular month**	**Resolved during the particular month***	**Pending at the end of the particular month#**
1	June 2026	0	0	0	0
2	July 2026	0	0	0	0
3	August 2026	0	0	0	0
4	September 2026	0	0	0	0
5	October 2026	0	0	0	0
	Grand Total	**0**	**0**	**0**	**0**

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) (From 29th September 2025)–					
Sr. No	**Year**	**Carried forward from previous year**	**Received during the particular year**	**Resolved during the particular year**	**Pending at the end of the particular year**
1	2025	0	0	0	0
2	2026@	0	0	0	0
3	2027@	0	0	0	0
4	2028@	0	0	0	0
5	2029@	0	0	0	0
	Grand Total*	**0**	**0**	**0**	**0**

Notes:

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

** Inclusive of complaints of previous months resolved in the current month.*

#Inclusive of complaints pending as on the last day of the month

@ Relevant month/ year has not yet completed.

6. ***Category:*** *Initial Public Offer (IPO) including Offer for Sale (OFS) of Main Board*
7. ***Category:*** *Further Public Offer (FPO) including Offer for Sale (OFS) of Main Board & SME platform.*
8. ***Category:*** *Qualified Institutions Placement (QIP)*
9. ***Category:*** *Rights Issue*
10. ***Category:*** *Preferential Issue*
11. ***Category:*** *Public Issue of Debt Securities*
12. ***Category:*** *Public Issue of Non-Convertible Redeemable Preference Shares (NCRPS)*
13. ***Category:*** *Private Placement of Debt Securities & Non-Convertible Redeemable Preference Shares (NCRPS)*
14. ***Category:*** *Public Offer of Units by REITS*
15. ***Category:*** *Public Offer of Units by INVITS*
16. ***Category:*** *Private Placement of Units by INVITS listed or proposed to Be Listed*
17. ***Category:*** *Private Placement of Municipal Debt Securities*

Note: *We have not dealt in any of the categories from point no: 6 to 17 mentioned above, and there is no investor complaint to report on any of the said categories.*