

DIGGI CORPORATE ADVISORS PRIVATE LIMITED

CIN No. : U64990MH2022PTC382904

GST No. : U64990MH2022PTC382904

INVESTORS COMPLAINTS DATA

Name of Merchant Banker : Diggi Corporate Advisors Private Limited

SEBI Registration Number : INM000013332

Date of Licence : September 29, 2025

CONSOLIDATED REPORT							
Data for every month ending – 31 st October 2025							
Sr No	Received from	Pending as on the last month ending 30 th September 2025	Received during the month ending 31 st October 2025	Resolved during the month ending 31 st October 2025	Total Pending during the month ending 31 st October 2025	Pending complaints For more than One month	Average Resolution time (in days)^
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0
Trend of monthly disposal of complaints (For 5 months on a rolling basis)-							
Sr No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#		
1	June 2025	Not Applicable	Not Applicable	Not Applicable	Not Applicable		
2	July 2025						
3	August 2025						
4	September 2025						
5	October 2025	0	0	0	0		
	Grand Total	0	0	0	0		
Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) (From 29 th September 2025)–							
Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year		
1	2025@	0	0	0	0		
2	2026@	0	0	0	0		
3	2027@	0	0	0	0		
4	2028@	0	0	0	0		
5	2029@	0	0	0	0		
	Grand Total*	0	0	0	0		

Notes:

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

@ Relevant month/ year has not yet completed.

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1. CATEGORY: SMALL AND MID-SIZE ENTERPRISES INITIAL PUBLIC OFFER (IPO) INCLUDING OFFER FOR SALE (OFS)

Data for every month ending – 31st October 2025

Sr No	Received from	Pending as on the last month ending 30 th September 2025	Received during the month ending 31 st October 2025	Resolved during the month ending 31 st October 2025	Total Pending during the month ending 31 st October 2025	Pending complaints For more than One month	Average Resolution time (in days) [^]
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on a rolling basis)-

Sr No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	June 2025	Not Applicable	Not Applicable	Not Applicable	Not Applicable
2	July 2025				
3	August 2025				
4	September 2025				
5	October 2025	0	0	0	0
	Grand Total	0	0	0	0

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) (From 29th September 2025)–

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2025@	0	0	0	0
2	2026@	0	0	0	0
3	2027@	0	0	0	0
4	2028@	0	0	0	0
5	2029@	0	0	0	0
	Grand Total*	0	0	0	0

Notes:

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

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2. CATEGORY: SUBSTANTIAL ACQUISITIONS OF SHARES AND TAKEOVERS							
Data for every month ending – 31st October 2025							
Sr No	Received from	Pending as on the last month ending 30 th September 2025	Received during the month ending 31 st October 2025	Resolved during the month ending 31 st October 2025	Total Pending during the month ending 31 st October 2025	Pending complaints For more than One month	Average Resolution time (in days) [^]
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0
Trend of monthly disposal of complaints (For 5 months on a rolling basis)-							
Sr No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#		
1	June 2025	Not Applicable	Not Applicable	Not Applicable	Not Applicable		
2	July 2025						
3	August 2025						
4	September 2025						
5	October 2025	0	0	0	0		
	Grand Total	0	0	0	0		
Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) (From 29 th September 2025)-							
Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year		
1	2025 [@]	0	0	0	0		
2	2026 [@]	0	0	0	0		
3	2027 [@]	0	0	0	0		
4	2028 [@]	0	0	0	0		
5	2029 [@]	0	0	0	0		
	Grand Total*	0	0	0	0		

Notes:

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

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3. CATEGORY: DELISTING OF EQUITY SHARES							
Data for every month ending – 31st October 2025							
Sr No	Received from	Pending as on the last month ending 30 th September 2025	Received during the month ending 31 st October 2025	Resolved during the month ending 31 st October 2025	Total Pending during the month ending 31 st October 2025	Pending complaints For more than One month	Average Resolution time (in days) [^]
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0
Trend of monthly disposal of complaints (For 5 months on a rolling basis)-							
Sr No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#		
1	June 2025	Not Applicable	Not Applicable	Not Applicable	Not Applicable		
2	July 2025						
3	August 2025						
4	September 2025						
5	October 2025	0	0	0	0		
	Grand Total	0	0	0	0		
Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) (From 29 th September 2025)-							
Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year		
1	2025 [@]	0	0	0	0		
2	2026 [@]	0	0	0	0		
3	2027 [@]	0	0	0	0		
4	2028 [@]	0	0	0	0		
5	2029 [@]	0	0	0	0		
	Grand Total*	0	0	0	0		

Notes:

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

[@] Relevant month/ year has not yet completed.

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4. CATEGORY: BUYBACK OF SECURITIES							
Data for every month ending – 31st October 2025							
Sr No	Received from	Pending as on the last month ending 30 th September 2025	Received during the month ending 31 st October 2025	Resolved during the month ending 31 st October 2025	Total Pending during the month ending 31 st October 2025	Pending complaints For more than One month	Average Resolution time (in days) [^]
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0
Trend of monthly disposal of complaints (For 5 months on a rolling basis)-							
Sr No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#		
1	June 2025	Not Applicable	Not Applicable	Not Applicable	Not Applicable		
2	July 2025						
3	August 2025						
4	September 2025						
5	October 2025	0	0	0	0		
	Grand Total	0	0	0	0		
Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) (From 29 th September 2025)-							
Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year		
1	2025 [@]	0	0	0	0		
2	2026 [@]	0	0	0	0		
3	2027 [@]	0	0	0	0		
4	2028 [@]	0	0	0	0		
5	2029 [@]	0	0	0	0		
	Grand Total*	0	0	0	0		

Notes:

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

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5. CATEGORY: PUBLIC ISSUE OF DEBT SECURITIES

Data for every month ending – 31st October 2025

Sr No	Received from	Pending as on the last month ending 30 th September 2025	Received during the month ending 31 st October 2025	Resolved during the month ending 31 st October 2025	Total Pending during the month ending 31 st October 2025	Pending complaints For more than One month	Average Resolution time (in days)^
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on a rolling basis)-

Sr No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	June 2025	Not Applicable	Not Applicable	Not Applicable	Not Applicable
2	July 2025				
3	August 2025				
4	September 2025				
5	October 2025	0	0	0	0
	Grand Total	0	0	0	0

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis) (From 29th September 2025)–

Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2025@	0	0	0	0
2	2026@	0	0	0	0
3	2027@	0	0	0	0
4	2028@	0	0	0	0
5	2029@	0	0	0	0
	Grand Total*	0	0	0	0

Notes:

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

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6. **Category:** *Initial Public Offer (IPO) including Offer for Sale (OFS) of Main Board*
7. **Category:** *Further Public Offer (FPO) including Offer for Sale (OFS) of Main Board & SME platform.*
8. **Category:** *Qualified Institutions Placement (QIP)*
9. **Category:** *Rights Issue*
10. **Category:** *Preferential Issue*
11. **Category:** *Public Issue of Non-Convertible Redeemable Preference Shares (NCRPS)*
12. **Category:** *Private Placement of Debt Securities & Non-Convertible Redeemable Preference Shares (NCRPS)*
13. **Category:** *Public Offer of Units by REITS*
14. **Category:** *Public Offer of Units by INVITS*
15. **Category:** *Private Placement of Units by INVITS listed or proposed to Be Listed*
16. **Category:** *Private Placement of Municipal Debt Securities*

Note: *We have not dealt in any of the categories from point no: 5 to 16 mentioned above, and there is no investor complaint to report on any of the said categories.*